SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6232-218 (153451)	SERIAL NO. 10/620/476 10/011,039		
INFORMATION DISCLO	SURE CITATION	N. Mayo et al.			
		FILING DATE 07/16/2003	GROUP		
		November 13, 2001	2832		

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLA SS	FILING DATE (IF APPROPRIATE)
ME	DA	5,921,381	7/13/99	Von Arx	200	345	
	DB	6,005,308	12/21/99	Bryde et al.	307	157	-
	DC	6,013,885	1/11/00	Kowalczyk,	200	315	
	DD	6,017,585	8/22/00	Gehr	200	505	
	DE	Des. 337,755	7/27/93	Rowen et al.	. D13	1707	
	DF	Des. 342,234	12/14/93	Graybill, Jr. et al.	D\3	1,89	
1	DG	Des. 353,798	12/27/94	Bryde et al.	D13	/169	
V	DH	Des. 364,141	11/14/95	Hanna et al.	D13/	125	
ME	DI	Des. 439,220	3/20/01	Mayo et al.	D13	125	
	DJ.						
	DK		·				

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	****** ********************************	SERIAL NO. 10/62047	
INFORMATION DIS	CLOSURE CITATION	N. Mayo et al.		
		November 13, 2001	2832	

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MF	AA	2,460,599	10/3/45	Rowe	74	565	
	AB	3,571,545	3/23/71	Haderer	200	166	·
	AC	3,684,850	8/15/72	Kaderbek et al.	200	166	
	AD	3,939,318	2/17/76	Brown et al.	200	159R	
	AE	4,109,121	8/22/78	Bauer et al.	200	67E	
	AF	4,159,563	7/3/79	Bauer et al.	29	622	
	AG	4,352,964	10/5/82	English	200	5A	
	АН	4,672,229	6/9/87	Skarman et al.	307	115	
	AI	4,783,581	11/8/88	Flowers et al.	200	332	
V	AJ	4,822,963	4/18/89	Martin	200	296	
ME	AK	4,871,893	10/3/89	Slovak et al.	200	16R-	

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

MI-	AL	NEMA Standards Publication No. ANSI/NEMA WD 6-2001, WIRING DEVICES- DIMENSIONAL SPECIFICATIONS, pages 5 and 7. (no date)
ME	AM	Toggler® dimmer switch, Model TG-600PH, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
ME	AN	DIVA Duo brand dimmer switch and wallplate, Model LDV-600P, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
EXAMINER		DATE CONSIDERED 1//17/03

SUBSTITUTE FORM PTO-1449

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INFORMATION DISCLOSURE CITATION

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Nevember 13, 2001 2832

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
MF	BA	4,880,950	11/14/89	Carson et al.	200	547	
,	BB	4,939,383	7/3/90	Tucker et al.	307	139	
	BC	4,947,054	8/7/90	Flowers et al.	307	125	*
	BD	5,068,639	11/26/91	Swanson et al.	338	179	
	BE	5,248,919	9/28/93	Hanna et al.	315	291	
	BF	5,262,678	11/16/93	Flowers et al.	307	125	
	BG	5,338,910	8/16/94	Tsai	200	547	
	ВН	5,343,007	8/30/94	Roeser	200	296	
	BI	5,359,231	10/25/94	Flowers et al.	307	125	
	BJ	5,399,821	3/21/95	Inagaki et al.	200	341	
MF	BK	5,428,674	6/27/95	Kawashima	379	100	

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

MF	BL	Maestro® dimmer switch, Model MA-600H, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
ME	ВМ	Lumea 2 brand dimmer switch, Model LTLV-603PH, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
MF	BN	VAREO® dimmer switch, Model V-1000, Lutron Electronics Co., Inc., Coopersburg, PA, known prior to November 13, 2001.
EXAMINER		DATE CONSIDERED 1/1/7/63

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	****** ******* *****	SERIAL NO. 10/620476 10/011,039	
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		FILING DATE 07/16/2003 November 13, 2001	GROUP 2832	

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF
MF	CA	5,446,252	8/29/95	Burger	200	329	APPROPRIATE)
]	CB	5,584,380	12/17/96	Naitou	200	315	
	CC	5,608,196	3/4/97	Hall et al.	200	61.19	
	CD	5,637,930	6/10/97	Rowen et al.	307	112	
	CE	5,669,484	9/23/97	Paulson	200	43.22	
	CF	5,721,405	2/24/98	Hamada	200	16R	
	CG	5,753,983	5/19/98	Dickie et al.	307	141.4	
	СН	5,821,482	10/13/98	Ootani et al.	200	5A	
	CI	5,844,182	12/1/98	Hirano et al.	200	5R	
	СJ	5,881,866	3/16/99	Miyajima et al.	200	513	
41-	СК	5,909,087	6/1/99	Bryde et al.	315	149	

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	11/17/03

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. 10/620/476 1 0/011,03 9		
INFORMATION DISC	LOSURE CITATION	N. Mayo et al.			
		Nevember 13, 2001	GROUP 2832		

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FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

M/Z AA	"Lutron® Residential Lighting Controls Catalog" by Lutron Electronics Co., Inc. of Coopersburg, PA, Oct. 31, 2001, pgs. 30-32, 52-68 and 80-87.
ME AB	Product literature for "Maestro® Electronic Low-Voltage Dimmers" by Lutron Electronic Co., Inc. of Coopersburg, PA, December 2001, 2 pages.
M/ AC	Product literature for "VAREO® and NOVA T (star design)® Controls" by Lutron Electronics Co., Inc. of Coopersburg, PA, December 1996, 2 pages.
EXAMINER	DATE CONSIDERED 1/17/03

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. 10/620476 1 0/011,039
INFORMATION DISC	CLOSURE CITATION	N. Mayo et al.	1
	·	FILING DATE: 07/16/200 November 13, 2001	23 GROUP 2832

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FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

MI	AA	"Lutron® Residential Lighting Controls Catalog" by Lutron Electronics Co., Inc. of Coopersburg, PA, Oct. 31, 2001, pgs. 30-32, 52-68 and 80-87.
ME	AB	Product literature for "Maestro® Electronic Low-Voltage Dimmers" by Lutron Electronic Co., Inc. of Coopersburg, PA, December 2001, 2 pages.
MK	AC	Product literature for "VAREO® and NOVA T (star design)® Controls" by Lutron Electronics Co., Inc. of Coopersburg, PA, December 1996, 2 pages.
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